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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031
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TDANCAITTAL		Application Number	10/090,466	ECEIVE	7
TRANSMITTAL	Filing Date	03/01/02	OCT 1 0 2002		
FORM		First Named Inventor	Turner		
	Group Art Unit	1645 TEC	H CENTER 1600	90(	
(to be used for all correspondence after initial fi	Examiner Name	To be assigned			
Total Number of Pages in This Submission	9	Attorney Docket Number	LEX-0315-USA		
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	Fee Transmittal F	Form		Assignment Papers (for an Application)		After Allowance Communication to Group			
	Fee Attach	ned		Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
	Amendment / Re	eply		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
	After Final	·		Petition		Proprietary Information			
	Affidavits/d	declaration(s)		Petition to Convert to a Provisional Application		Status Letter			
	Extension of Time	e Request		Power of Attorney, Revocation Change of Correspondence Address	$\times$	Other Enclosure(s) (please identify below):			
	Express Abandonment Request		Terminal Disclaimer		РТ	turn postcard O Form 1449 and 3 references			
X	Supplemental Information Disclosure Statement		Request for Refund International Search Repor		ernational Search Report				
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Firm									
or	L	ance K. Ishimoto	R	Reg. No. 41,866					
Individual name Lexicon Genetical			Incor	porated					
Sign	ature	Sem	£						
Date	0	October 4, 2002							

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Typed or printed name Aancy Stacey				
Signature	Alexan	Date	October 4, 2002	

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Application No.: 10/090,466

Filed: 03/01/02

Title: Novel Human Dectin Proteins and

Polynucleotides Encoding the Same

Attorney Docket No.: LEX-0315-USA

Group Art Unit: 1645

Examiner: To Be Assigned

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OCT 1 0 2002

Commissioner for Patents Washington, D.C. 20231

**TECH CENTER 1600/2900** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Supplemental Information Disclosure Statement is submitted:

<u>X</u>	under 37 CFR 1.97(b), or
	(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a:  Certification under 37 CFR 1.97(e), or  a \$240.00 fee under 37 CFR 1.17(p), or  (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a:  Certification under 37 CFR 1.97(e), and  a petition under 37 CFR 1.97(d)(2)(ii), and  a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Supplemental Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references are that they were cited in a communication from a foreign patent office in a counterpart foreign application corresponding to the above-identified application.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

October 4, 2002

Date

Lance K. Ishimoto Reg. No. 41,866

LEXICON GENETICS INCORPORATED (281) 863-3333

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Substitute for form 1449A/PTO

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known					
Application Number	10/090,466				
Filing Dat	03/01/02				
First Named Invent r	Turner				
Group Art Unit	1645				
Examiner Name	To be assigned				
Attorney Docket Number	LEX-0315-USA				

		U.S. PATENT DOCUMEN	TS	
Cite No.¹	U.S. Patent Document  Number Kind Code² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	No.1	Cite No.¹  Number  Kind Code²  (if known)	Cite No.¹  Number  Kind Code² (if known)  Name of Patentee or Applicant of Cited Document  Not 1 7 2002 or	Name of Patentee or Applicant of cited Document MM-DD-YYYY  Number (if known)  Name of Patentee or Applicant of cited Document MM-DD-YYYY  OCT 0 7 2002 O

				FORE	IGN PATENT DOCUMENTS	6		
Examiner Initials*	Cite No.¹		Foreign Patent Docu	ment	Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Office	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		cited Document MM-DD-YYYY		T⁵
	СК	wo	01/36487	АЗ	Miltenyi Biotech Inc.	05/25/01		
	CL	wo	01/72773	A2	Novartis AG	10/04/01		↓
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<sup>\*</sup>EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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	SUPPLEM	FN.	ΓΔΙ	Applicati n Number	10/090,466		
INEC	_			Filing Dat	03/01/02		
INFORMATION DISCLOSURE				First Nam d Inventor	Turner		
SIA	STATEMENT BY APPLICANT			Group Art Unit	1645		
				Examiner Name	To be assigned		
Sheet	2	of	2	2 Attorney Docket Number LEX-0315-USA			

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
СМ	Database GenCore on Est. No. AA868502, NCI-CGAP, National Cancer Institute, Cancer Genome Project (CGAP), Turm Gene Index, Gene Sequence, 01/04/99.	or
CN	International Search Report, International Application No. PCT/US02/06711, 03/01/02 (Attorney Docket No. LEX-0315-PCT)	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.